

Financial Services Regulatory Investigations and Enforcement

Only a handful of elite international firms have been involved in the major investigations coming out of the global financial crisis. Only the very best of those firms have been involved in all those investigations, from LIBOR through FX to precious metals, and Hogan Lovells is one of them. We are truly global, handling all aspects of cross-border investigations as a single firm.

The advantages of a single firm in a complex investigation are obvious. Nothing falls between the cracks. One global practice, where you get coherent, coordinated, and cost-effective advice from lawyers who have been at the sharp end of investigations, involving all the major regulators and law enforcement agencies. Our experienced and versatile team includes senior lawyers from regulatory and government authorities in the United States and the UK. We bring deep knowledge of our sector, and our global resources, to assist our clients on their most important matters.

Representative experience

Handling the LIBOR investigations in the U.S., UK, EU, and Asia for a leading international bank.

Advising two banks in investigations in the United States and Europe into trading activities in the investment banking divisions of several global banks.

Representing two leading international banks in the investigations in the United States and the UK into FX trading.

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Practices

Banking and Finance
Litigation

Crisis Leadership Team

Investigations, White Collar,
and Fraud

Industries

Financial Institutions

Defending a consumer lender against claims by U.S. Consumer Financial Protection Bureau (CFPB) and Department of Justice (DOJ) of alleged discrimination by mortgage brokers.

Advising a leading global bank in an investigation into its compliance with "Know Your Customer" rules, including the impact of applicable U.S. and UK/EU sanctions.

Advising a multinational financial services company in an investigation by the Hong Kong Monetary Authority into its senior employees, in relation to misselling bonds to nonprofessional investors.

Successfully defending a former director of CITIC against a claim by the STC in the longest ever MMT trial.

Representing a U.S.-based international bank in a DOJ investigation into alleged irregularities in the bank's underwriting of loans guaranteed by the U.S. Veterans Administration.

Representing a major U.S.-based bank in connection with a DOJ investigation into the activities of a Chinese telecommunications company.

Latest thinking and events

Insights

IRS renews focus on cryptocurrency-related offenses

Hogan Lovells Publications

Hong Kong and the cauldron of compliance

Investigations, White Collar Crime, and Fraud Alert

Hogan Lovells Events

FIS Summit Series: Europe

Hogan Lovells Events

Global FIS Summit Series: United States

Press Releases

Hogan Lovells leads on launch of UK first for Goldman Sachs with online savings bank, Marcus

Events

New corporate criminal offences - Are you prepared?